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MAY 13 2004 FIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

Andreas Potz et al.

Serial No.: 09/965,603

Mail Stop AF

P.O. Box 1450

Commissioner for Patents

Alexandria, VA 22313-1450

Filing Date: September 27, 2001

Title: Apparatus and Method for Generating

Operating Components

Group Art Unit: 2125

Examiner: Ryan A. Jarrett

Attny. Docket No. 071308.0239

Client Ref.: 2000P23299US

CERTIFICATE OF MAILING VIA EXPRESS MAIL

PURSUANT TO 37 C.F.R. § 1.10, I HEREBY CERTIFY THAT I HAVE INFORMATION AND A REASONABLE BASIS FOR BELIEF THAT THIS CORRESPONDENCE WILL BE DEPOSITED WITH THE U.S. POSTAL SERVICE AS EXPRESS MAIL POST OFFICE TO ADDRESSEE, ON THE DATE BELOW, AND IS ADDRESSED TO:

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INFORMATION DISCLOSURE STATEMENT

Technology Center 2100

Sir:

Applicants respectfully request, pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. A copy of the cited art is enclosed for the convenience of the Examiner.

The enclosed documents were cited by the German Patent Office in the Search Report of the corresponding German application. The documents which have not previously been provided in an earlier-filed Information Disclosure Statement are attached.

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Furthermore, pursuant to 37 C.F.R. §§1.97(g) and (h), no representation is made that these references are material to the patentability of the present application.

As this Information Disclosure Statement transmitted herewith is being filed after the mailing date of the first NonFinal Office Action on the merits, and pursuant to 37 C.F.R. §1.97(c), Applicants enclose herewith a check in the amount of \$180.00. Applicants believe no additional fee is due. However, should the Commissioner deem any additional fees are due, including any fees for extensions of time, Applicants respectfully request that the Commissioner accept this as a Petition therefore, and further direct the Commissioner to debit any additional fees due, or to credit any overpayment, to Baker Botts L.L.P. **Deposit Account No. 02-0383**, (071308.0239) for any other charges necessary for the filing of this Information Disclosure Statement.

BAKER BOTTS L.L.P. (023640)

Date: May 13, 2004

Andreas H. Grübert

(Limited recognition 37 C.F.R. §10.9)

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LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Mr. Andreas Horst Lothar Grubert is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Baker Botts LLP, to prepare and prosecute patent applications for clients of Baker Botts LLP in which a member of Baker Botts LLP is the attorney of record. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Mr. Andreas Horst Lothar Grubert ceases to lawfully reside in the United States, (ii) Mr. Andreas Horst Lothar Grubert's employment with Baker Botts LLP ceases or is terminated, or (iii) Mr. Andreas Horst Lothar Grubert ceases to remain or reside in the United States on an H-1B visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: June 30, 2004

Harry I. Moatz

Director of Enrollment and Discipline

PTO-1449	F		Application No. 09/965,603	Applicant	Applicant(s): ANDREAS POTZ ET AL.			
Information Disclosure Citation in an Application MAY 1 3 2004			Docket Number	Group	Art Unit	Filing Date	iling Date	
			071308.0239	071308.0239		2125 September 27, 200		
RADE	and the second		U.S. PATENT DOCUMEN	rs				
RADEN	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLAS	S FILING	FILING DATE	
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			FOREIGN PATENT DOCUM	ENTS				
	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLAS	S TRANSL YES	ATION NO	
1	DE 196 30 415	01-15-98	GERMANY	G05B	19/05	Abstract		
2	DE 198 53 205	06-15-00	GERMANY	G05B	15/02	Abstract		
3	DE 199 04 331	08-03-00	GERMANY	H04L	29/06	Abstract		
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6	Joseph Desposito; "Visual Tools Heat Up Automation and Process-Control Development; Embedded systems; pp. 87-94					11/16	11/16/98	
7	Harald Bruns, et al.; "Ein Konzept für eine COM/DCOM-basierte herstellerunabhängige Integration von Feldgeräten in Engineeringsysteme"; ATP-Automatisierungstechnische Praxis, pp. 34-40						1999	
8	"Leittechnik verbannt den Rost"; Bandstahl-Feuerverzinken; IEE 45; pp. 64-66					200	2000	
9	9 Ulrich Epple; "Das Prozeßleitsystem SIMATIC PCS 7 von Siemens"; atp – Automatisierungstechnische Praxis 40; pp. 14-26						1998	
EXAMINER DAT					ATE CONSIDERED			

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